

JC10 Rec'd PC 0 15 JUN 2005

Docket No.: 2986-0137PUS1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shigeki OBANA et al.

Application No.: 10/532,875

Filed: April 28, 2005 Art Unit: N/A

For: PROCESS OF FORMING THIN FILM ON

GLASS SUBSTRATE AND GLASS

SUBSTRATE COATED WITH THIN FILM

Confirmation No.:

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

T. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

 \boxtimes Copies of cited U.S. patents and patent application publications are not included. Copies of foreign patent documents and non-patent literature are included.



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b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned. REFERENCES PREVIOUSLY CITED OR SUBMITTED - Pursuant to 37 C.F.R. c. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120: U.S. Appl. No(s) and U.S. Filing Date PCT/JP2003/013905 filed October 30, 2003 CONCISE EXPLANATION OF THE RELEVANCE Ш. (check at least one box) DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy. X b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: An English language abstract has been provided for the JP 2001-080939 document. This document is also discussed on page 3 of the present specification. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search c. report or action that indicates the degree of relevance found by the foreign office is attached,

thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

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M d. OTHER - The following additional information is provided for the Examiner's consideration. We are including the following references which were previously cited on April 28, 2005: JP 3-265547, JP2000-219875 and JP 2000-143293. IV. FEES (check one box) This Information Disclosure Statement is being filed concurrently with the filing a. of a new patent application; therefore, no fee is required. b. This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required. \boxtimes c. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's.) d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. $\S 1.97(b)(2)$). No fee or statement is required. This Information Disclosure Statement is being filed concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required. f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p).

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	g.	This Information Disclosure Statement is being filed before the mailing date of a
Final (Office A	action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing
date of	f a Notic	ce of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).
		No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.
	or	
	☐ See	the statement below. No fee is required.
V.	STAT	EMENT UNDER 37 C.F.R. § 1.97(e)
	(check	only one box)
	The un	dersigned hereby states that:
	a.	Each item of information contained in the IDS was first cited in any
comm	unicati	on from a foreign Patent Office in a counterpart foreign application not more
than 3	0 days	prior to the filing of this IDS; or
	b.	Each item of information contained in the IDS was first cited in any
comm	unicatio	n from a foreign Patent Office in a counterpart foreign application not more than
three n	nonths p	prior to the filing of this IDS; or
	c.	No item of information contained in the IDS was cited in a communication from a
_		Office in a counterpart foreign application, and, to the knowledge of the person
		ertification after making reasonable inquiry, no item of IDS was known to any
individ	lual des	ignated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the
DS.		
 7		
	d.	Some of the items of information were cited in a communication from a foreign
Patent	Office.	As to this information, the undersigned states that each item of information
contair	ned in t	he IDS was first cited in a communication from a foreign Patent Office in a
counte	rpart for	reign application not more than three months prior to the filing of this IDS. As to

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the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VI. PAYMENT OF FEES (check one box)

	The required fee is listed on the attached Fee Transmittal.
\boxtimes	No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

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If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: June 15, 2005

Respectfully submitted,

Paul C. Lewis

Registration No.: 43,368

BIRCH, STEWART, KOLASCH & BIRCH, LLP

8110 Gatehouse Rd Suite 100 East P.O. Box 747

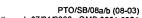
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Attorney for Applicant

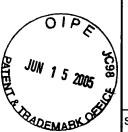
Attachment(s):

\boxtimes	PTO-SB08
\boxtimes	Documents
	Foreign Search Report
	Fee
同	Other:



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Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/532,875	
II.	NFORMATION	1 DI	SCLOSURE	Filing Date	April 28, 2005	
S	TATEMENT I	3Y /	APPLICANT	First Named Inventor	Shigeki OBANA	
				Art Unit	N/A	
(Use as many she ets as necess ary)				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	2986-0137PUS1	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	゙゙゙゙゙゙゙゙゙	
	BA	JP-2001-080939-A	03-27-2001			1	

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		

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